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# COMPLAINTS POLICY

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**Part A: RQF Level 3 and below courses:** applies to Pre Programme, GCSE, UFP, A Levels, Extended Diploma courses and Music Foundation, and any other courses below RQF level 3

**Part B: RQF Level 4 and above courses (HE):** applies to Foundation in Art and Design, Drama Foundation, Degree courses, Graduate Diploma and Masters courses and any other courses above RQF level 4

Date of Policy	May 2019
Approved by Principal/Rector	Yes
Next Review Date	May 2020
Lead for Review	SLT (CATS) and SMT (CSVPA)



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
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## Contents

<b>Complaints Policy PART A: RQF Level 3 and below courses</b> .....	3
Introduction .....	3
Stage 1 – Informal Resolution .....	4
Complaints raised about the Principal/Rector.....	5
The Panel Hearing .....	6
Confidentiality and Records .....	7
<b>Complaints Policy PART B: RQF Level 4 and above: Higher Education (HE) Courses</b> .....	8
1. Introduction.....	8
2. Stage One: Informal Resolution of Complaints.....	9
3. Stage Two: Formal Resolution .....	9
4. Stage Three: Review of Outcome .....	10
5. Completion of Procedures.....	11
6. Office of the Independent Adjudicator (OIA) for Higher Education.....	11
7. Data protection.....	12



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
PERFORMING  
ARTS

## Complaints Policy PART A: RQF Level 3 and below courses

### Introduction

The College is committed to providing the best teaching and pastoral care it can for its students. It is hoped that any worries or complaints can be dealt with informally and directly through College staff. If not, the College has a formal complaints procedure. A complaint is any matter about which students, parents or other stakeholders are unhappy and seek action by the College. Formal complaints are extremely rare, reflecting the good relationships between these parties that enable most matters to be resolved informally.

This Policy does not cover the items below and the separate policy listed should be referred to:

This Policy does not cover the items below and the separate policy listed should be referred to:

- If a student has been permanently excluded or has been asked to leave (Student Behavior Policy)
- If a child protection issue has arisen (Safeguarding Policy)
- If a student or parent believes that there has been a material error or irregularity in the assessment or examinations process and they want to appeal (Examination Policy)

Any concern about the safety of a student should be communicated immediately via the emergency telephone: +44 7860 586528

Where the term “working days” is used this shall mean any day that is not a Saturday or Sunday or a bank holiday and which falls within term time as published on the website. However, wherever possible we will try to resolve any issues or complaints during holiday periods as quickly as possible, but delays may occur due to staff holidays or other matters outside of our control.

Students and/or parents will not be discriminated against or suffer any recrimination as a consequence of making a complaint. This complaints policy is part of the College process of quality review and any complaint or concern is therefore valued as useful feedback rather than criticism.



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
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## Stage 1 – Informal Resolution

For all matters in which you consider there is cause for a complaint, please address it initially to the Student Communications Team (CATS) or Student Services (CSVPA):

[communications@catscambridge.com](mailto:communications@catscambridge.com)

[studentservices@csvpa.com](mailto:studentservices@csvpa.com)

The College will acknowledge your complaint within 2 working days and highlight the steps that will be taken in order to achieve a positive resolution. This will include reference to the personnel involved. The College will seek to provide you with a resolution within 10 working days of the complaint being received.

This process allows the complaint to be considered initially on an informal basis. If you are not satisfied with the response in Stage 1, you may escalate the complaint to Stage 2. Stage 1 concerns can be raised up to three months after the date of the incident causing concern.

## Stage 2 – Formal Resolution

If you are not satisfied with the outcome of your complaint in Stage 1 and wish to take the matter further, you should contact the Principal of CATS Cambridge or the Rector of CSVPA. Please be aware of the following points when taking your complaint to Stage 2:

- Under 18 students must get their parents to submit a formal complaint (Stage 2 and Stage 3) on their behalf, unless there are exceptional circumstances preventing this
- You must be dissatisfied with the response you have received in Stage 1;
- You must make your complaint in writing and stipulate that it is a 'Formal Complaint' escalating from Stage 1 to Stage 2;
- You may ask a friend, relative or agent to help you with your communication or make it on your behalf.
- Where a complaint is found to be justified, remedial action will be taken.

Contact the Principal of CATS Cambridge: [communications@catscambridge.com](mailto:communications@catscambridge.com)

Contact the Rector of CSVPA: [studentservices@csvpa.com](mailto:studentservices@csvpa.com)

The Principal/Rector will acknowledge your complaint within 5 working days. The Principal/Rector will then provide you with a final response within 15 working days of the



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
PERFORMING  
ARTS

complaint being received. The response will explain the decision, the reasons for the decision and action taken or proposed.

This process allows the complaint to be considered formally by the Principal/Rector. If you are not satisfied with the response in Stage 2, you may escalate the complaint to Stage 3.

### Complaints raised about the Principal/Rector

Formal complaints about the Principal/Rector should be sent to the Chair of Governors via e-mail to: [communications@catscambridge.com](mailto:communications@catscambridge.com) or [studentservices@csvpa.com](mailto:studentservices@csvpa.com).

The Chair of Governors may deal with the Stage 2 complaint in the same manner as described for other complaints, or may recuse themselves at this stage and pass the complaint to another governor to be addressed in the first instance, so that they are on then free to sit on the Panel in Stage 3 if required.

### Stage 3 – Appeals Procedure and Panel Hearing

If you are not satisfied with the outcome of your complaint in Stage 2 and wish to take the matter further, you should contact the Chair of Governors. Please be aware of the following points when taking your complaint to Stage 3:

- The appeal request should be received within 10 working days of receipt of the Stage 2 response
- You must be dissatisfied with the response you have received in Stage 2 and be able to explain the reasons for your dissatisfaction with the Principal/Rector's decision;
- You must make your complaint in writing and stipulate that it is an 'Appeal against the Principal/Rector's decision' escalating from Stage 2 to Stage 3;
- You may ask a friend, relative or agent to help you with your communication or make it on your behalf.

Contact the Chair of Governors:

*FAO The Chair of Governors*

[communications@catscambridge.com](mailto:communications@catscambridge.com) or [studentservices@csvpa.com](mailto:studentservices@csvpa.com).

- The letter will be initially acknowledged by the Chair of Governors within two working days of receiving the complaint, indicating the action that will be taken and the likely timescale.



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SCHOOL OF  
VISUAL &  
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- The Chair of Governors will then convene a panel and schedule a hearing date which will be as soon as possible and within 20 days from receipt of the complaint, though this may not be possible if the complaint is received outside term time.
- The panel shall be made up of at least three persons each of whom is not directly involved in the matters detailed in the complaint. One member of the panel shall be independent of the management and running of the school.
- The complainant must be informed of the date of the panel hearing a minimum of 10 working days prior to the panel happening and reasonable efforts must be made to find a convenient time for the complainant as well as the Panel.
- The Panel may decide to require additional material or further particulars of the complaint, or any related matter, in advance of the hearing. Any such additional material or further particulars shall be supplied to all parties at least 5 working days before any hearing to consider the complaint and, if necessary, the hearing date shall be deferred.
- The complainant(s) may be accompanied to the hearing by one other person. This may be a relative, friend or agent. Legal representation is prohibited during the internal appeals stage.
- Where a parent has requested a panel hearing, the panel hearing will take place unless the parent later indicates that they are now satisfied and do not wish to proceed further.

## The Panel Hearing

The hearing will be a private proceeding chaired by one member of the Panel (chosen by themselves) and will be conducted in an informal manner. No notes or other records or oral statements about any matter discussed in or arising from the proceeding shall be made available directly or indirectly to the press or other media.

All those attending the hearing are expected to show courtesy, restraint and good manners or, after due warning, the hearing may be adjourned or terminated at the discretion of the chair. If the hearing is terminated for this reason, the original decision will stand. Any complainant who is dissatisfied with any aspect of the way the hearing is conducted must say so before the proceedings go any further and their comment will be documented.

The Panel is not empowered to make any financial award nor impose sanctions on staff, students or parents.



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SCHOOL OF  
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At the hearing the Panel will attempt to achieve a resolution. Where a resolution has not been reached and where the Panel is satisfied that it has established all the relevant facts (if necessary having carried out further investigations) and has duly considered all that the complainant and the College has said, the Panel shall make its findings and recommendations (if any). If the Panel considers that the complaint has been proven, it will uphold the complaint. If the Panel does not consider that the complaint has been proven, it will dismiss the complaint. These decisions will be made on the balance of probabilities. The decision of the Panel will be final.

The Panel's findings and any recommendations and its reasons for them, shall be recorded in writing and sent (not later than 10 working days from the date of the final hearing) to the complainant(s), the Principal/Rector and, where relevant, the person(s) complained about. The decision, findings and any recommendations will be made available for inspection on the school premises by the proprietor and the Principal/Rector.

## Confidentiality and Records

Complainants can be assured that all concerns and complaints are treated seriously and confidentially.

Correspondence, statements and records are kept confidential except in so far as is required of the School by paragraph 33 of the Education (Independent Schools Standards) Regulations 2014; where disclosure is required by the Secretary of State or a body conducting an inspection under section 108 or 109 of the Education and Skills Act 2008; or where any other legal obligation prevails.

The record of complaints must state whether the complaint has been resolved following a formal procedure or whether it has proceeded to a panel hearing. It must also give details of the action taken by the school as a result of these complaints, regardless of whether the complaint has been upheld.

In accordance with data protection principles, details of individual complaints will be kept for as long as is considered to be reasonably necessary in the circumstances.

Information on the number of formal complaints from the last school year is available upon request from [communications@catscambridge.com](mailto:communications@catscambridge.com) or [studentservices@csvpa.com](mailto:studentservices@csvpa.com).

This policy is made available to parents on request and via the College's website.



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
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## Complaints Policy PART B: RQF Level 4 and above: Higher Education (HE) Courses

### 1. Introduction

1.1. CATS and CSVPA are committed to providing a high level of teaching and pastoral care for students. The levels of service that students and prospective students can expect are outlined in course information and other publications and on the College websites.

1.2. If a student or prospective student has a concern relating to a specific issue regarding their educational experience or other services provided by the College (and they are a Level 4 or above student), then they should submit a complaint, following the formal complaints procedure detailed below.

1.3. Stage One concerns can be raised up to three months after the date of the incident causing concern.

1.4. This procedure does not apply to students appealing an academic decision such as assessment and examinations. If a student believes that there has been a material error or irregularity in the assessment process, they should refer to the 'Appeals Procedure: HE Courses'. Staff can advise you of this process if required.

1.5. Students will not be discriminated against or suffer any recrimination as a consequence of making a complaint.

1.6. This complaints policy is part of the College process of quality review any complaint is therefore valued as useful feedback rather than criticism.

1.7. Whatever the nature of the complaint, complainants can expect it to be dealt with promptly and fairly and in accordance with the College policies and procedures. The time limits set out in the procedure below will normally be followed. However, where for good reason, this is not possible, the complainant will be kept informed of progress.

1.8. We expect everyone who works and studies at the College to respect the rights of individuals and groups to hold their own views and values. However we will not tolerate these views or values to be presented in a way that intimidates, degrades or is hostile to others.

1.9. For further information about how the College work to ensure an inclusive environment or to discuss your concerns about behaviour that is discriminatory to yourself or others please contact our Welfare Team.





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SCHOOL OF  
VISUAL &  
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## 2. Stage One: Informal Resolution of Complaints

2.1. Wherever possible, the College wishes to see any issue resolved informally. A student with a concern shall wherever possible, in the first instance, contact Student Services (via [studentservices@csvpa.com](mailto:studentservices@csvpa.com)). The Student Services team will alert the relevant members of staff who will do everything possible to resolve the matter straight away to the student's satisfaction. A prospective student may prefer to direct their complaint to [admissions@csvpa.com](mailto:admissions@csvpa.com).

2.2. If after 10 working days of a complaint being made a student is not satisfied that the matter has been resolved they may proceed to Stage Two of this procedure. A written record of all complaints and the date on which they were received will be kept.

## 3. Stage Two: Formal Resolution

3.1. Formal complaints must be in writing to the CSVPA Rector (the recipient) via [studentservices@csvpa.com](mailto:studentservices@csvpa.com), stating that it is made under stage 2 of the complaints procedure.

3.2. You may, if you wish, involve a person independent of the College. However the College cannot deal with third party complaints without the written permission of the student.

3.3. The College will confirm receipt of the complaint to the student, normally within two working days.

3.4. Wherever possible the recipient will contact the student within 10 working days of receiving the complaint in order to discuss the matter and achieve an acceptable resolution.

3.5. Where a resolution has not been reached, the recipient may ask a senior member of staff who has not been involved in the matter, to act as 'investigator'. The investigator may request additional information from the student and may wish to speak to him or her and others who have knowledge of the circumstances.

3.6. Following an investigation a full written response will be sent to the complainant within 20 working days of receiving the initial Stage 2 complaint.

3.7. Written records will be kept of all meetings and interviews held in relation to the complaint. Where a student is still not satisfied that the matter has been resolved they may proceed to Stage Three of this procedure.



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
PERFORMING  
ARTS

## 4. Stage Three: Review of Outcome

4.1. If a student or prospective student is still not satisfied with the outcome of the Stage Two investigation, he/she may request a review of the outcome within 10 working days of the written response to the complaint being received.

4.2. A request for a review should be submitted in writing to the Chair of Governors (the recipient) via [studentservices@csvpa.com](mailto:studentservices@csvpa.com). Students should provide full details on the grounds on which the review is being requested and why they do not consider the outcome of Stage Two to be satisfactory.

4.3. The letter will be acknowledged by the recipient within five working days of receiving the complaint, indicating whether any further information is required, the action that will be taken and the likely timescale.

4.4. The Chair of Governors will then convene a panel as soon as is reasonably practicable to deal with the review process. The panel shall be made up of at least three people, each of whom is not directly involved in the matters detailed in the complaint. One member of the panel will be independent of the management and running of the school. The other two members of the panel will be drawn from the governing body. The meeting may be held in person or remotely via telephone or videoconference if necessary.

4.5. The Chair of Governors shall then acknowledge the complaint, inform the student of the composition of the panel and schedule a hearing date which will be as soon as possible and within 20 working days from receipt of the review request, though this may not be possible if the complaint is received outside term time.

4.6. The panel may decide to require additional material or further particulars of the complaint, or any related matter, in advance of the hearing. Any such additional material or further particulars shall be supplied to all parties at least 5 working days before any hearing to consider the complaint and, if necessary, the hearing date shall be deferred.

4.7. The student may attend the hearing of the panel and be accompanied by a friend or relative. Legal representation is not allowed during the internal review stage.

4.8. The hearing will be a private proceeding chaired by one member of the panel (chosen by themselves) and will be conducted in an informal manner. No notes or other records or oral



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SCHOOL OF  
VISUAL &  
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statements about any matter discussed in or arising from the proceeding shall be made available directly or indirectly to the press or other media.

4.9. The decision of the panel is final. The outcomes available are as follows:

- That the complaint be upheld in whole or in part;
- That the complaint will be rejected

## 5. Completion of Procedures

5.1 The response from the hearing of the panel will be the conclusion of the internal processes and the student will be issued with a Completion of Procedures letter.

5.2 The student will receive the Completion of Procedures Letter within 10 working days of the panel hearing.

5.3 In the event of a complaint being upheld whole or in part, recommendations should be made in respect of remedial action required. The complaint will be reviewed in full by the CSVPA Executive Team as a part of the ongoing quality review process.

5.4 An annual report on complaints is prepared for the Governing Body and will include any complaints received at Stage 2 or Stage 3 and their conclusion.

## 6. Office of the Independent Adjudicator (OIA) for Higher Education

6.1. If, after following all of the internal complaints procedures and having received a Completion of Procedures letter, students feel the response is not satisfactory, they can refer the complaint to the Office of the Independent Adjudicator for Higher Education (OIA).

6.2. OIA is an independent body set up to review student complaints. Free to students, the OIA deals with individual complaints against Higher Education in England and Wales.

6.3. OIA will only look at complaints from students and former students. Prospective students are unable to complain via OIA.

6.4. Before OIA can look at a complaint you will need to take your complaint through the internal complaints or appeals procedures.

6.5. Students must apply to the OIA within 12 months of the issue of the Completion of Procedures letter.



CAMBRIDGE  
SCHOOL OF  
VISUAL &  
PERFORMING  
ARTS

6.6. Further details can be found on the OIA website at [www.oiahe.org.uk](http://www.oiahe.org.uk). The OIA's contact details are: Office of the Independent Adjudicator, Second Floor, Abbey Gate, 57 – 75 Kings Road, Reading, RG1 3AB, Tel: 0118 959 9813 Email: [enquiries@oiahe.org.uk](mailto:enquiries@oiahe.org.uk).

## 7. Data protection

7.1. In accordance with data protection principles, details of individual complaints will be kept for as long as is considered to be reasonably necessary in the circumstances